



A Whole-Body Approach to Compare Different Lifting Styles

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Abstract

Objective: To challenge six most common lift styles to capture the concept of minimal task demand using EMG and motion capture system. It seems feasible to expect that a change in lifting style should work and result concurrently in most adaptable and safest practice of lifting tasks.

Methodology: The external dynamic moments and surface muscle activities were utilized as indicators for comparison among six lifting styles: a) modified full-squat, b) BLT, c) sumo, d) semi-squat, e) straddle, and f) stoop lift. A repeated-measures design was used to test the main effect of lift style on net dynamic external moments and muscles' activation level.

Results: Lift style had a significant influence on the normalized resultant moment for the low back, hip, knee, and ankle joints; but, no effect on the shoulder. No significant difference was found in low back moment among BLT, sumo, and squat ($p < 1.000$). The semi-squat created the lowest knee moment ($p < 0.001$), by at least 34% lower than BLT. Also, the semi-squat caused the highest erector spinae level of activity by at least 16% higher than BLT ($p < 0.007$). The maximal difference was observed for the Sumo by 20% difference ($p < 0.007$).

Conclusion: It seems that for a single lifting task, semi-squat is the reasonable compromise among all techniques, by keeping the resultant joint moments at the lowest range. However, for repetitive lifting tasks; where local and whole body fatigue can lead to MSK injuries, sumo appears to be the best compromise.

Application: The results of this study may help better understand why workers fail to follow training instructions, which can facilitate the development of effective training programs for the trainers and workers accordingly. The outcome of this study will apply to workplace training programs and future research investigations into the effectiveness of lift training.

Specific: It seems feasible to expect that a change in training content of manual material handling (lifting technique) should work and result concurrently in most adaptable and safest practice of lifting tasks. This study is a first attempt to examine the concept of minimal energy expenditure during different lifting techniques.

Keywords: Manual Material Handling; Lifting Style; Biomechanics; Musculoskeletal Injuries

Introduction

Manual material handling can set in motion a series of events leading to neuromusculoskeletal (NMSK) disorders such as low

back pain [1-7]. Training is an essential element of any workplace injury prevention, health promotion, or return-to-work programs. Since musculoskeletal disorders are prevalent among manual

handling workers, safe lifting technique is necessary [8]. Despite many research studies and training programs on the prevention of low back injury, it is still an ongoing challenge in the workplace, leading all other body parts with 17% of injuries [9]. Moreover, despite the need for training, the evidence suggests that lift training is ineffective at transferring skills as workers tend to go back to their past practice [10-14]. The issue could be partly explained by training methods [15,16], but the training content might need to be evaluated as well. The content of lift training, specifically the lift style, has been controversial because of inconclusive evidence on the best lift style [17]. Some studies have explored alternative lift styles such as semi-squat [10], modified full-squat [18], straddle [19,20] and weightlifters' technique [21]. The lifting scenarios represent a set of relevant lifting techniques, but no one can infer that these lifting techniques can be easily applied in all workplace settings where repetitive lifting might result in different motor control strategies. According to biomechanical studies, the semi-squat style was introduced as the safest and most effective basis for lifting training [10,17]. However, the result is challenged by physiological results indicating that stoop lifting has the lower energy expenditure, cardio-respiratory demands, and perceived exertion [22].

According to one of the latest motor control theories, individuals adapt their walking pattern in response to changing conditions to lower their energy consumption [23,24]. In these gait studies, they intentionally changed the walking conditions and found that walkers quickly adapted their technique to minimize energy demand. This knowledge can help to determine a posture during the lifting task that optimally requires the minimum rate of energy and balanced moments on the joints.

In this study, several lifting techniques were compared using the concepts of balancing the moments across the joints involved in the lifting task, and a surrogate measure of energy demand namely summated muscle activity.

The study aimed to challenge six most common lift styles to capture the concept of minimal task demand using EMG and motion capture system. It seems feasible to expect that a change in lifting style (training content as a part of behavioral control) should work and result concurrently in most adaptable and safest practice of lifting tasks. The results of this study will help better understand why workers fail to follow training instructions, which can facili-

tate the development of effective training programs for the trainers and workers accordingly. The outcome of this study will apply to workplace training programs and future research investigations into the effectiveness of lift training.

Methods

Experimental design

The external dynamic moments and surface muscle activities were utilized as indicators for comparison among six lifting styles in this study: a) modified full-squat, b) BLT, c) sumo, d) semi-squat, e) straddle, and f) stoop lift from floor to table-height (Figure 1). These selected posture are the most common methods that are studied by other researchers or trained in workplaces. A repeated-measures design was used to test the main effect of lift style on net dynamic external moments (L4/L5, shoulder, hip, knee, and ankle), and on muscle activity (erector spinae (ES), latissimus dorsi (LD), internal oblique (IO), lateral gastrocnemius (LG), gluteus medius (GM), biceps femoris (BF), rectus femoris (RF), and adductor longus (AL)). Lift styles were tested in a randomized order.



Figure 1: Six lifting styles: a) modified full-squat, b) balanced lifting technique, c) sumo, d) semi-squat, e) straddle, and f) stoop.

Participants

Participants were recruited from the university population; anyone with a history of musculoskeletal pain or injury within the

previous six months or health concerns precluding participation in strenuous activity were excluded, based on responses to the Physical Activity Readiness Questionnaire [25]. A total of 19 males (10) and females (9) with the age = 24.4 (SD 6.1) years, height = 168.4 (SD 12.0) cm, and body mass = 65.5 (SD 14.7) kg participated after providing written, informed consent. The methodology was approved by the Ryerson University Research Ethics Board.

Set up and procedures

Starting in upright standing posture, participants reached down to pick up a weighted crate (13" square x 11" height) from the floor to elbow height, and then lowered the crate back to the floor, to its original position. The load lifted was 20% of the participant's body mass as a weight that almost 75% of males and females can lift. Each of the six lift styles was repeated three times; between trials and repetitions, a 2-minute break was provided to avoid early onset of muscle fatigue. Lift pace was standardized using a metronome set at a comfortable pace of 38-40 beats per minute. The pace was established during the training session explained below.

The six lift styles were taught and practiced before testing at a separate training session for about 15 minutes (Figure 1) for an average of about 10 lift for each style to establish a rhythm in their lifting. Participants who had a difficulty with a style e.g. straddle were asked to practice to a point that they could comfortably complete the lift. For all lift styles, the instruction was to "stand upright behind the crate, then reach the load, raise the crate to elbow height, put it back in the original position, and return to the upright position." As demonstrated in Figure 1, in "modified full-squat" the feet and knees were instructed to be rotated outwards by approximately 45°. The participant was cued to "maintain a lumbar lordosis throughout all the lifting activities, as much as possible" (Figure 1.a to 1.f). In "balanced lifting technique" or BLT the feet were positioned almost at a right angle, and the load is approached from one of its corners (Figure 1.b). Cueing for BLT was "keep your chest up and your back neutral." In sumo squat, the feet were positioned further apart than shoulder width, and the toes are slightly turned outward (Figure 1.c). Cueing for sumo squat was "keep your chest up and your back neutral for the duration of the movement, and knees aligned with the ankles. The instruction for semi-squat was "lower yourself into a semi-squatting position by bending at hip and knee joints." The participant was cued to "keep your head upright and low back straight while maintaining the lumbar

curve" (Figure 1.d). In stoop style, the participant was instructed to lean over, flex the hip joints and the back while keeping their legs straight (knees almost locked). The participant was also cued to "maintain the lumbar curve as much as possible" (figure 1.e). In straddle style, the instruction was to place one foot beside the crate and the other behind the crate [20] "while keeping the back straight" (figure 1.f).

Instrumentation, sensor location, and data collection

The 3-dimensional orientation of seven body segments (non-dominant thigh, lower leg, foot, arm and forearm, pelvis and trunk) were continuously recorded at 120 Hz using the Fastrak™ electro-magnetic tracking system (Polhemus, Colchester, VT, USA). Sensors were attached firmly to the skin using Kinesio Tape© (KT Health, American Fork, UT, USA). Sensors located on the extremities were positioned at the approximate midpoint of each segment. The sensor tracking pelvis orientation was positioned overlying the anterior superior iliac spine on the non-dominant side. Trunk orientation was tracked using two sensors: one sensor was positioned overlying the spinous process of seventh cervical vertebrae, and the second was overlying L4/L5. The electromagnetic source was positioned within 1 meter of all sensors with no metal within the active field.

Orientation was normalized to upright standing, using a standing reference position that was digitized before every repetition. For this, participants were instructed to 'stand upright, with arms at the body's sides with the palms facing inward.

Surface muscle activity was continuously recorded at 2048 Hz using a Bortec (Bortec Biomedical Ltd. Calgary, AB, CA) EMG system (Input Impedance 10GΩ, CMRR > 115 dB). Electrodes were positioned overlying eight muscles on the dominant side. Before placement, the location was marked, then the skin shaved, sanded, and then cleaned with an alcohol swab. Surface, pre-gelled disposable, bipolar EMG electrodes (Bortec Biomedical Ltd. Calgary, AB, CA) were used with 2 cm inter-electrode distance; these were secured with Kinesio Tape©. Locations were chosen by previous anatomical investigations [26,27] and Surface Electromyography for the Non-Invasive Assessment of Muscles [28]. The Biceps Femoris (BF) electrode was placed midway between the ischial tuberosity and the caput fibulae. For Leg Gastrocnemius (LG), the electrode was placed at a 1/3rd distance of the line between the head of the fibula

and the heel. The electrode for Latissimus Dorsi (LD) was placed over the muscle belly at the T12 level and on the line connecting the most superior point of the posterior axillary fold and the S2 spinous process. For Erector Spinae (ES), electrodes were placed on the line from the caudal tip of the posterior superior iliac spine (PSIS) to the interspace between L1 and L2 spinous process at the level of L5 spinous process (i.e., about 2 - 3 cm from the midline). For the Gluteus Medius (GM), the electrode was placed at 50% on the line from the crista iliaca to the greater trochanter of the femur. For Adductor Longus (AL), the attachment site was over the muscle belly, in the medial region of the thigh, in an oblique direction, four centimeters below the pubis [29]. The electrodes were placed at 50% on the line from the anterior superior iliac spine to the superior part of the patella to record RF muscle. For Internal Obliques (IO), electrodes were placed 2 cm medial and inferior to the anterior superior iliac spine and beneath the line joining both anterior superior iliac spine [30]. The ground reference electrode was placed overlying the patella.

Muscle activity was calibrated to a maximal isometric voluntary contraction (MVIC) using recommended procedures in SENIAM that was completed on the same day before testing. The average value of three repetitions lasting for 3–4 seconds was used for calibration. All the procedures were conducted by the same researcher for all participants to minimize inter-rater error. Both visual and verbal cueing was provided to reach maximal isometric efforts. A 2-minute rest was given between MVIC repetitions to avoid muscular fatigue.

Data processing and calculations

A custom program was developed in Labview 8.5 (National Instruments, Austin, TX, USA) to estimate the three-dimensional dynamic moments about the shoulders, L4/L5, hips, knees, and ankles joints as described by Hof, *et al.* [31] and Plamondon, *et al.* [32]. All Fastrak™ data were processed with a second-order low-pass Butterworth filter at 10 Hz. This approach involved moving the inertial forces due to linear accelerations to the centre of masses of the segments. The linear velocities and linear accelerations of each segment were computed by numerical differentiation procedures using the central-difference method. Three dimensional moments due to segment rotations were found from each segment's angular rotation for the third part of the Hof equation (1992). Angular velocities were determined by the method proposed by Paul

(1981), where each segment was expressed in a global coordinate system using a matrix of direction cosines; angular velocities were then determined by the product of the derivative of this matrix. The calculated static and dynamic moments were summed together for each separate segment and for all of the segments with each other. Kinematic data were chopped from the erect standing posture to the beginning of the lift and normalized to 100% of the lifting cycle.

The linear acceleration of each segment and load was computed as the second derivative of displacement and then filtered using a second-order Butterworth filter with a cutoff frequency of 4 Hz [31]. From these curves, peak moments and root mean square (RMS) values were determined and processed for statistical analysis. The average of three repetitions at each time point for each lift style was used for comparison. All moments were normalized by bodyweight times height method to reduce variability among the moment results.

Raw EMG data for each trial was linear enveloped by rectifying and low-pass filtering using a 2nd order Butterworth filter with a 2.5 Hz cut-off. The RMS was then calculated and normalized using the MVIC value. For each trial, the synchronized kinematic data were used to determine the percent time of the lifting cycle. These three trials per muscle were then averaged, and outcome variables were calculated.

Statistical analysis

The extracted findings for comparison were first tested for normal distribution using the Shapiro-Wilk statistic. A one-way repeated-measures analysis of variance (ANOVA) was used to test for the main effect from lift style. The sphericity of the data was tested using Mauchly's test. Where Sphericity could not be assumed, the Greenhouse-Geisser correction was used to adjust the degrees of freedom of the repeated measures ANOVA. Bonferroni pairwise comparison tests were used to examine differences between lift styles. Analyses were performed using version 22.0 of Statistical Package for the Social Sciences (SPSS) with a significance level of $\alpha = 0.05$ for all tests. Also, using the concept that humans adopt strategies that minimize energy consumption [24], all muscles were included to form a composite of total activity. Then, the coefficient of variation (CV) between all muscles' activation level was calculated for all techniques as a measure of uniformity (variability) of muscle activation pattern.

Results

Results were tested for normal distribution using the Shapiro-Wilk in SPSS for Windows, release 22.0 (SPSS, Corporation, Chicago, IL, USA). A one-way repeated-measures analysis of variance (ANOVA) was used to test for the main effect for lift style. Statistical significance was accepted when $p < .05$. Bonferroni pairwise comparison tests were used to examine differences between lift styles. Also, the coefficient of variation (CV) between all muscles' activation level was calculated for all techniques as a measure of uniformity (variability) of muscle activation pattern.

External moments

Lift style had a significant influence on the normalized resultant moment (NRM) for the low back [$F(5, 60) = 27.31, p < 0.0001$], hip [$F(5, 60) = 27.71, p < 0.0001$], knee [$F(2.59, 31.17) = 11.34, p < 0.0001$], and ankle [$F(5, 60) = 20.32, p < 0.0001$] joints; but, no effect on the shoulder NRM [$F(1.89, 22.67) = 0.987, p < 0.38$] (Table 1).

NRM	df _{lift style}	df _{Error (lift style)}	F-statistics	P-value
Back	5	60	27.31	<0.0001
Knee	2.598	31.175	11.34	<0.0001
Hip	5	60	27.71	<0.0001
Ankle	5	60	20.32	<0.0001
Shoulder	1.89	22.678	0.987	0.38

Table 1: A summary of the ANOVA results with F-statistics and corresponding p-values for the main effect of lift style on normalized resultant moments (NRM).

Post hoc tests using the Bonferroni correction revealed that the modified full-squat causes lowest low back NRM ($p < 0.003$), by at least an average of 0.046 Nm/H*W (34%) ($p < 0.001$), lower than sumo (figure 2.). On the other hand, stoop and straddle increased Low back NRM by at least an average of 0.047 Nm/H*W (24%) and 0.061 (31%) more than BLT; respectively ($p < 0.012$). No significant difference was found in low back NRM among BLT, sumo and squat ($p < 1.000$). Post hoc tests demonstrated that the semi-squat lift style created the lowest knee NRM ($p < 0.001$), by at least an average of 0.113 Nm/H*W (34%) lower than BLT (Figure 2). However, sumo created the highest ankle NRM, which was significantly higher than the modified full-squat (21%), stoop (35%) and (46%) semi-squat ($p < 0.001$), but not significantly different in comparison to BLT and straddle ($p < 1.000$). Post hoc tests showed in comparison to straddle and stoop which created the highest hip NRM (by at least an average of 0.053 Nm/H*W (16%) higher than

BLT ($p < 0.006$)), the modified full-squat caused the lowest hip NRM within all lifting styles (by at least an average of 0.053 Nm/H*W (16%) higher than BLT) ($p < 0.005$). It could mean that there was no difference between semi-squat, BLT, and sumo.

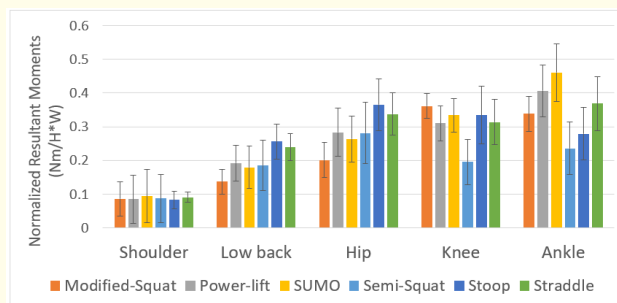


Figure 2: Main effect of lifting technique on normalized resultant moments in different joints. (Nm= newton meter, H=height, w=weight).

Main effect of lifting technique on myoelectric activity of muscles (RMS values)

A summary of EMG results from the ANOVA with F-statistics, and corresponding p-values are shown in table 2.

Myoelectric activity	df _{lift style}	df _{Error (lift style)}	F-statistics	P-value
Biceps Femoris	1.94	33.14	0.31	0.72
Gastrocnemius	2.35	40.01	1.84	0.16
Latissimus Dorsi	1.54	26.17	1.41	0.25
Lumbar Erector Spinae	3.75	63.78	2.59	0.03
Gluteus Medius	2.35	40.06	0.91	0.42
Adductor longus	3.22	54.88	3.47	0.00
Rectus Femoris	2.50	40.14	8.07	0.00
Internal Oblique	3.05	48.88	1.38	0.25

Table 2: A summary of EMG results from the ANOVA with F-statistics and corresponding p-values.

Post hoc tests using the Bonferroni correction revealed that among all techniques, stoop caused the substantially the lowest rectus femoris activity by at least 14.62 mean difference (370%) lower than sumo. It also showed that the stoop and semi-squat caused the lowest and highest adductor longus activity ($p < 0.001$), respectively (Figure 3).

Also, the semi-squat technique caused the highest erector spinae level of activity by at least 3.24 mean difference (16%) in comparison with BLT ($p < 0.007$). The maximal difference was observed for the Sumo technique by 20% difference ($p < 0.007$). Sumo style resulted in less rectus femoris and adductor longus activities comparing to Semi-Squat, but not statistically significant. There was no significant effect of the lifting condition among other muscles.

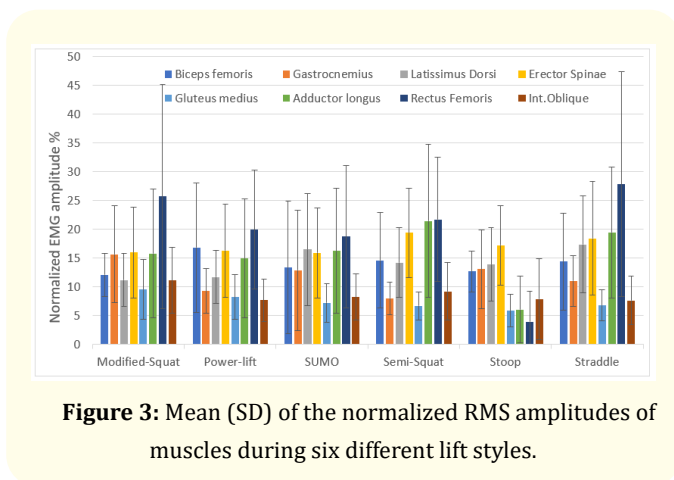


Figure 3: Mean (SD) of the normalized RMS amplitudes of muscles during six different lift styles.

Discussion

It has been shown that neuromuscular programming quickly evolves strategies to minimize energy costs during gait, even when the natural pattern must be changed to minimize energy [24]. When applied to lifting tasks, this theory would explain why workers' natural pattern might change from squat-like to stoop-like during prolonged, repetitive work because there is less energy demand. This study is a first attempt to examine this concept during different lifting techniques using joint moments and EMG findings.

The results from joint moments showed that the modified full-squat created the lowest hip and back moments while causing the highest knee moments. Obviously, stoop and straddle techniques created the highest back and hip moments, respectively.

Semi-squat showed the lowest knee and ankle moments which altogether with other moments, it seems to be the most optimal technique. It also indicates that when semi-squat is appropriately conducted, it might reduce the risk for the knees, ankles, low back, and hips joints by sharing the applied load at a moderate level. In other words, in comparison to extreme postures such as stoop and modified full-squat, the semi-squat provides a balanced posture that uniformly distributes the load on each joint accordingly. Then, semi-squat might be initiated first in workers with lower extremities injuries during gradual return to work.

In light of observations above, which are consistent with the proponents of semi-squat technique, it is arguable that, despite all training programs, workers shift from semi-squat to stoop especially following prolonged, repetitive lifting activities. One possible explanation is the fact that the human body always tends to move towards minimum energy expenditure [23,24]. Based upon the myoelectric activity of muscles measured in this study, we observed the lowest summated EMG activity during stoop technique, indicating the lowest muscular contribution (active elements) during this lifting task. The stoop was followed by sumo, BLT, and semi-squat, modified full-squat, and straddle; respectively. Then, it is reasonable from the energy consumption perspective that workers would tend toward the least energy-demanding strategy (i.e., stoop) despite training to the contrary, especially when workers are fatigued or have not identified any alternatives. Several good quality studies agree that stoop lifting resulted in the least total energy expenditure, heart rate and ventilation, 20–30% less than full-squat and 10–15% less than semi-squat. It suggests workers using this technique are less likely to suffer injuries associated with whole-body fatigue despite its adverse effect on back moments. It is also suggested by Burgess-Limerick, *et al.* [33] that “a stooped posture has the advantage of lowering the center of gravity of the upper body less than a semi-squat posture and thus less work is done in lifting the upper body during each lift”. After stoop, sumo was the most efficient style regarding the summated muscular activity. Also, considering overall muscular activation pattern, sumo style showed the most uniform pattern of activity by having the lowest coefficient of variation within all muscles measured in this study. In other words, it seems that sumo engages all body parts by incorporating all muscles at an optimal level during the lifting task, similar to what was observed in terms of moment's sharing during semi-squat. Additionally, comparing to semi-squat, results showed sumo

lifting reduced erector spinae muscle activity, around 20%. Based on the results, it seems that the movement pattern during sumo style can engage both active and passive properties of the muscles, which reduces the muscular effort required to perform the task. It suggests that the sumo appears to be most optimal and efficient style among all techniques regarding muscular effort and should be studied further. On the other hand, the sumo style did not create significant different low back and hip moments in comparison to semi-squat technique thus indicating that these moments are in an acceptable range.

Conclusion

It seems that for a single lifting task, semi-squat is the reasonable compromise among all techniques, by keeping the resultant joint moments at the lowest range. However, for repetitive lifting tasks; where local and whole body fatigue can lead to MSK injuries, sumo appears to be the best compromise. Perhaps workers can be trained to alternate between semi-squat and sumo styles as they fatigue rather than resorting to the squat lifting style. Also, workers with underlying musculoskeletal injuries can be trained to alternate between different lifting techniques according to the nature and recovery status of their injuries. These types of laboratory studies should be completed before training the workers on the job.

Key points

- The concept of workers self-selecting a lifting style that best conserve their energy is novel. This concept has been verified in human gait [24], and it is logical that the same principles could explain why many workers naturally select a stoop lifting style, regardless of training.
- Although there are limitations in this study (e.g., sample size, lifting experience, number of muscles and joints studied), it demonstrates that we must consider more than joint moments to understand the biomechanics of the lifting task and why many training programs are ineffective.
- Future studies should be devoted to training using the semi-squat and sumo styles as well as determining how these lifting styles are altered as workers fatigue.

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