



## Evidence of a Neuroprotective Function for Niclosamide in Human SH-SY5Y Neuroblastoma and Rat PC12 Neural Cells

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### Abstract

Neurodegenerative disease is a debilitating and incurable condition that affects millions. It results in the loss of function and eventual death of neural cells. It has been established that exposure of model neuronal-derived cell lines to the proteasome inhibitor MG132 emulates in vitro neurodegeneration as evidenced by a marked decrease in protein degradation concomitant with an increase in aggregate intracellular ubiquitinated proteins and endoplasmic reticulum (ER) stress (via upregulation of CHOP/GADD153). Consequently, apoptosis ensues corresponding to the upregulation of p53, activation of caspase-3, cleavage of poly (ADP-ribose) polymerase (PARP), and DNA condensation/fragmentation. In this study, we investigated the potential neuroprotective function of niclosamide and its associated signaling mechanisms in SH-SY5Y and PC12 neural cells exposed to MG132. All markers of MG132-induced neurodegeneration, including the accumulation of ubiquitinated proteins, were prevented by exposure to niclosamide. In addition, niclosamide was shown to induce autophagy independently and to enhance autophagy induced by MG132. These results show that niclosamide may serve as a potential neuroprotective agent through its ability to inhibit proteasome dysfunction-induced protein ubiquitination.

**Keywords:** Neurodegeneration; Proteasome Dysfunction; Protein Ubiquitination; ER Stress; Apoptosis; Autophagy; Niclosamide; Neuroprotection

### Abbreviations

PD: Parkinson's Disease; UPS: Ubiquitin-Proteasome System; ER: Endoplasmic Reticulum; UPR: Unfolded Protein Response; FBS: Fetal Bovine Serum; PFA: Paraformaldehyde; LC3-I/LC3-II: Microtubule-Associated Protein Light Chain 3.

### Introduction

Parkinson's disease (PD) is a progressive neurodegenerative disease that affects motor control. It was estimated that in the

year 2010, PD affected approximately 680,000 individuals aged 45 years and older in the US, and it was projected to increase to over 1 million by the year 2030 [1]. PD is characterized by a loss of dopaminergic neurons of the substantia nigra pars compacta and by the presence of intracytoplasmic proteinaceous deposits known as Lewy bodies [2].

Intracellular protein degradation is well regulated by the ubiquitin-proteasome system (UPS) and the autophagy-lysosome system [3]. Proteasomes are protein complexes containing highly

conserved multi-catalytic proteases, found in the nucleus and cytoplasm of eukaryotes, which breakdown proteins that have been inactivated by ubiquitination. Lewy bodies contain multiple UPS components, such as ubiquitin and proteasome subunits [4]. In the case of PD, Lewy bodies also consist of highly ubiquitinated protein aggregates in degenerated dopaminergic neurons [5]. Most neurodegenerative diseases like PD are characterized by the presence of ubiquitin-positive aggregates [6], indicating that the UPS plays a critical role in the decay of these neurons in the setting of PD. Ample evidence suggests that defects in the UPS contribute to the development of PD. Post-mortem biopsies have shown decreased proteasome activity in the substantia nigra pars compacta [7]. Proteasome activity has been shown to also decrease with normal aging, indicating loss of proteasome activity may be a factor in the elderly being vulnerable to acquiring a neurodegenerative disorder like PD [8]. Therefore, identifying therapeutic agents capable of targeting protein ubiquitination induced by proteasome dysfunction, and the accumulation of cytoplasmic protein aggregates may be an effective strategy for developing novel treatments against PD.

Autophagy has beneficial, pro-survival effects on cells, especially neuronal cells, which are particularly vulnerable to the accumulation of protein deposits; therefore, the effective degradation of protein aggregates is essential for age-dependent maintenance of neuronal viability. It has been described that autophagy is a cellular response to extracellular and intracellular stress conditions [9,10]. Most neurodegenerative diseases are marked not only by the presence of ubiquitin-positive protein aggregates, but also by the presence of autophagy abnormalities [11]. Since aggresome (an aggregation of misfolded proteins) formation is essential for activation of protein degradation via autophagy, it is thought that this process could be an effective therapeutic target for neurodegenerative diseases such, as Alzheimer's disease, Parkinson's disease, and Huntington's disease [12-15].

Proteasome inhibition has been widely used to study the UPS in neurodegenerative conditions [16,17]. The mechanisms of cytotoxicity induced by proteasome inhibition are very complex and likely cell-specific. Proteasome inhibition leads to the accumulation of misfolded proteins in the endoplasmic reticulum (ER) and results in ER stress in neuronal cells [18-20]. In addition, proteasome inhibition is able to induce activation of an ER stress-me-

diated apoptosis pathway [21]. Neuronal cell death is triggered by complex, specific-cell signaling known as the Unfolded Protein Response (UPR) [20].

In our previous studies, we demonstrated that IGF-1 and retinoic acid protect against proteasome inhibition-induced cytotoxicity by stimulating the PI3/AKT signaling pathway. However, the levels of ubiquitinated proteins between protected and non-protected cells were not significantly different [22,23]. Very interestingly, the anti-helminthic niclosamide demonstrated an ability to reduce the accumulation of poly-ubiquitinated proteins induced by MG132-mediated proteasome inhibition [24], although, the mechanism of the action remains unclear. Niclosamide is an FDA approved drug used to treat parasitic worm infections, which has been shown to influence multiple cell processes and has been proposed as a potential therapeutic agent for many conditions. For instance, it has been proposed as a potential anti-tumoral agent due to its ability to target multiple growth signaling pathways such as Wnt [25] and STAT3 signaling [26-28]. Niclosamide also has been shown to reduce diabetic symptoms in mouse models and it impeded diabetic kidney disease progression by reducing renal hypertrophy and podocyte dysfunction and by suppressing renal cortical activation of the mTOR/4E-BP1 signaling pathway [29,30]. Interestingly, another recent study showed niclosamide provided neuroprotection against oxaliplatin-induced oxidative stress and neuroinflammation which lead to neurological dysfunction [31].

Niclosamide was shown to inhibit the formation of large ubiquitin-containing aggregates induced by the proteasome inhibitor MG132 [24] and to promote autophagy [32]. Therefore, we hypothesized that niclosamide could have neuroprotective properties through its ability to suppress proteasome inhibition-induced protein ubiquitination, apoptosis, ER stress and other types of cell death, like autophagy. In the current study, we demonstrate niclosamide markedly reduces protein ubiquitination in human SH-SY5Y neuroblastoma and rat PC12 neural cells induced by MG132 treatment in a dose-dependent manner and it suppresses MG132-induced apoptosis by inhibiting the upregulation of p53 and ER stress. These results suggest that niclosamide may render current treatments of neurodegenerative diseases, like PD, more effective by protecting neuronal cells against proteasome dysfunction which triggers the aggregation of ubiquitinated proteins, and subsequently, cell death.

## Materials and Methods

### Chemicals

Proteasome inhibitor MG132 and niclosamide were purchased from Sigma-Aldrich (St. Louis, MO). Both MG132 and niclosamide were dissolved in DMSO stored at -20°C. Hoechst 33342 was obtained from Life Technologies (Eugene, OR, USA).

### Cell culture

Human SH-SY5Y cells and rat PC12 pheochromocytoma cells were purchased from the American Type Culture Collection (Manassas, VA, USA). The SH-SY5Y cells were cultured at 5% CO<sub>2</sub> at 37°C in EMEM: Ham's F-12K medium (1:1) and PC12 cells were containing 10% fetal bovine serum (FBS), and 5% horse serum with penicillin (100 units/mL), and streptomycin (100 µg/mL). The cells were sub-cultured weekly in 60 mm or 100 mm cell culture dishes and used for experiments at 85-90% confluence of the cell monolayer. For Hoechst 33342 nucleic acid staining, cells were grown in 4-well chamber slides.

### Cell treatment

The proteasome inhibitor MG132 was used to induce cytotoxicity in SH-SY5Y and PC12 cultures. DMSO was used as a control vehicle, with a final concentration of less than 0.1% in the cell culture medium. Cells were treated either with MG132 or niclosamide alone or in combination for various times as needed.

### DNA damage assay

DNA damage and chromatin condensation were detected using Hoechst staining of cells cultured in glass bottom dishes. Briefly, after treatment cells were fixed with 3.7% paraformaldehyde (PFA), washed with PBS, and stained with Hoechst 33342 (5 µg/ml) solution (Sigma St. Louis, MO, USA). Stained cells were then mounted and imaged with a confocal microscope.

### Western blot analysis

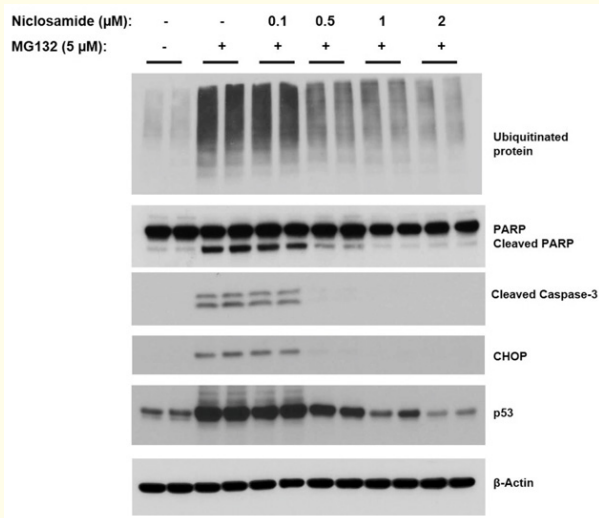
Changes in protein expression were measured via Western blot analysis. Protein homogenates were prepared as follows: the cells were lysed in ice-cold RIPA lysis buffer containing protease and phosphatase inhibitor cocktails (Santa Cruz Biotechnology, Santa Cruz, CA, USA). Clear lysates were obtained by centrifugation at 4°C for 20 min at 13,000 rpm in a refrigerated microcentrifuge. Protein concentrations were determined using the Pierce BCA

Protein Assay Kit (Pierce, Rockford, IL, USA) following the manufacturer's instructions. Equal amounts of the protein samples (25-30 µg) were separated using a 10% or 4-20% gradient polyacrylamide gel (Bio-Rad Laboratories, Hercules, CA, USA), transferred to nitrocellulose membranes, and blocked for either 1 h at room temperature or overnight at 4°C with Tris buffer containing 0.1% Tween 20 (TBST, pH 7.4) and 5% (w/v) nonfat dried milk. The blotted membranes were incubated with specific primary antibodies for 1 h at room temperature or overnight at 4°C. Antibodies against Ubiquitin, cyclin D, and survivin were purchased from Santa Cruz Biotechnology (Dallas, TX, USA) while CHOP, LC3, Cleaved PARP, and cleaved caspase-3 antibodies were purchased from Cell Signaling (Beverly, MA, USA). Antibody to β-actin was obtained from Sigma (St. Louis, MO, USA). The membranes were washed and incubated for 1 h with appropriate horseradish peroxidase-conjugated secondary antibodies. The protein bands were detected using a chemiluminescent method (ECL), according to the manufacturer's instructions, and band densities analyzed by ImageJ software.

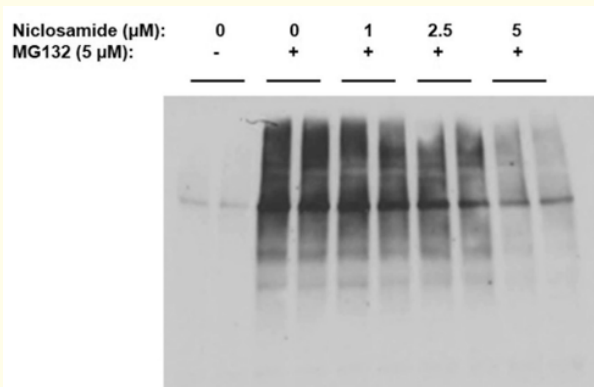
## Results and Discussion

### Niclosamide inhibits MG132-induced protein ubiquitination in SH-SY5Y cells and PC12 cells

Previously, we found niclosamide promoted protein ubiquitination in human glioblastoma cells [33]. However, recent work demonstrated niclosamide can block protein ubiquitination caused by proteasome inhibition in human SH-SY5Y cells [24]. To confirm this effect of niclosamide, SH-SY5Y cells were treated with different concentrations of niclosamide in the presence or absence of 5 µM MG132 for 24 h. The cell lysates were prepared for Western blot assays using anti-ubiquitin antibody. As shown in Figure 1, Western blot analysis confirmed MG132 induces a large increase in ubiquitinated protein levels, especially at higher molecular weights. However, protein ubiquitination in MG132-treated cells was clearly suppressed with the addition of niclosamide at a concentration greater than or equal to 0.5 µM. We also examined the effect of niclosamide in rat PC12 neural cells. As shown in Figure 2, similar to SH-SY5Y cells, incubation with MG132 alone promoted protein ubiquitination, while co-incubation of MG132 with niclosamide inhibited ubiquitination in a dose-dependent manner compared to MG132-only treatment. These results further support opposing effects of MG132-induced protein ubiquitination in different neuronal cell types.



**Figure 1:** Effect of niclosamide on the cell response to proteasome inhibition in SH-SY5Y cell line. SH-SY5Y cells were treated with 5  $\mu$ M MG132 in the presence or absence of an increasing concentration of niclosamide for 24 h. Western blot was performed using antibodies against p53, CHOP, ubiquitin, PARP, cleaved PARP, cleaved caspase 3 and  $\beta$ -Actin.

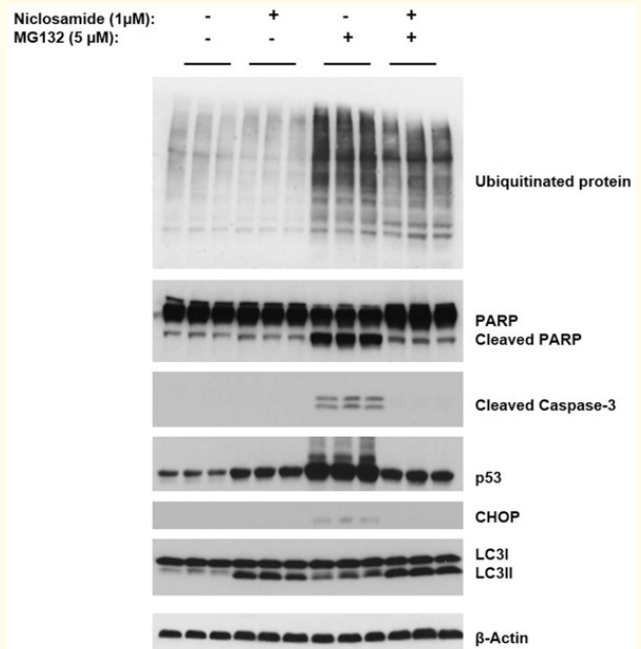


**Figure 2:** Effect of niclosamide on protein ubiquitination within PC12 cell line. PC12 cells were treated with 5  $\mu$ M of MG132 in presence or absence of an increasing concentration of niclosamide for 24 h. Western blot was performed with antibody against ubiquitin.

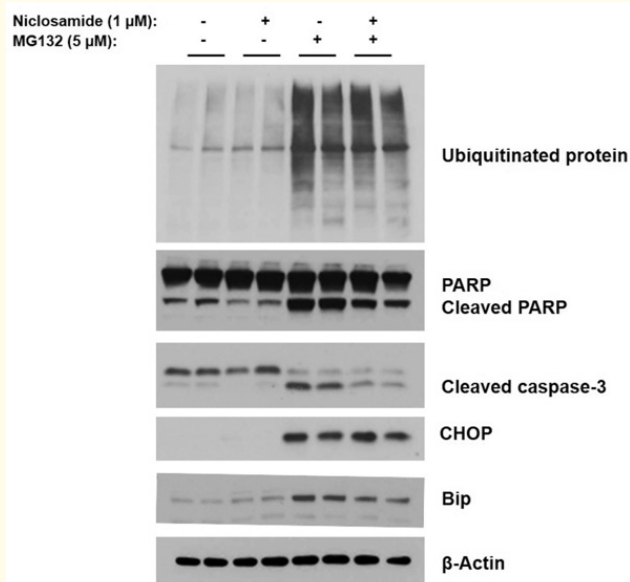
**Niclosamide inhibits MG132-induced apoptosis**

Neurodegenerative diseases like PD result in the death of neural cells. The aggregation of ubiquitinated proteins caused by proteasomal inhibition can trigger cell death or apoptosis. Given that

niclosamide can inhibit protein ubiquitination, we aimed to determine whether this compound could also inhibit ubiquitination-induced apoptosis. SH-SY5Y cells were treated with 5  $\mu$ M MG132 in the presence or absence of 1  $\mu$ M niclosamide for 24 h. Cell lysates were then prepared for Western blot probed with antibodies against cleaved caspase-3 and PARP (poly ADP ribose polymerase), which are well-known markers of apoptosis. As shown in Figure 3, treatment with 5  $\mu$ M MG132 for 24 h resulted in a clear increase in caspase-3 and PARP cleavage as compared to the non-treated control. Incubation with niclosamide alone had no effect on the levels of PARP and caspase-3 cleavage; however, the combination of niclosamide with MG132 resulted in a marked reduction of caspase-3 and PARP cleavage in comparison to the MG132-only treatment. In addition, the same experiment was performed in the PC 12 cell line, and as expected, MG132 treatment triggered caspase-3 and PARP cleavage (Figure 4). Similarly, caspase-3 cleavage and PARP cleavage were blocked by treatment with niclosamide. Thus, these results indicate that niclosamide suppresses proteasome inhibition induced apoptosis in neuronal cells. Moreover, they suggest niclosamide may protect against the effects of neurodegeneration.



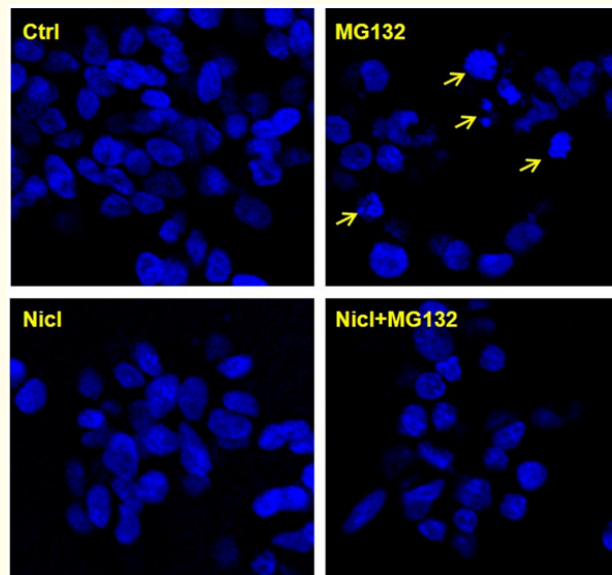
**Figure 3:** Effect of niclosamide on apoptosis, ER Stress and autophagy in SH-SY5Y cells under conditions of proteasome inhibition. SH-SY5Y cells were treated with 1  $\mu$ M niclosamide in the presence or absence of 5  $\mu$ M MG132 for 24 hrs. Western blot was performed with antibodies against p53, LC3I, LC3II,  $\beta$ -Actin, cleaved caspase-3, PARP, cleaved PARP, and ubiquitin.



**Figure 4:** Effect of niclosamide on apoptosis and ER stress in PC12 cells under conditions of proteasome inhibition. PC12 cells were treated with 1  $\mu$ M niclosamide in the presence or absence of 5  $\mu$ M MG132 for 24 h. Western blot was performed with antibodies against ubiquitin, PARP, caspase-3, CHOP, Bip and  $\beta$ -actin.

Nuclear condensation and DNA fragmentation are characteristics of cells undergoing apoptosis, and it has been reported that MG132 treatment is able to trigger these apoptotic events [34]. To confirm the inhibitory effect of niclosamide on MG132-induced apoptosis, SH-SY5Y cells were treated with 10  $\mu$ M MG132 in the presence or absence of 1  $\mu$ M niclosamide for 24 h. Then, cells were fixed and stained with nuclear dye Hoechst 33243. As shown in Figure 5, little to no nuclear condensation was observed in DMSO-treated control cells, while treatment with MG132 only caused extensive nuclear condensation, as evidenced by nuclear shrinkage. As expected, little to no nuclear condensation was observed in the cells treated with niclosamide or with niclosamide/MG132 co-treatment. The data showed niclosamide can inhibit MG132-induced DNA fragmentation and therefore, apoptosis. It should be noted that cells treated with niclosamide displayed an abnormal morphology and reduced proliferation rate, as compared to control cells. However, like the control, no detached cells were noted after exposure to 1  $\mu$ M niclosamide for 24 h, implying that although niclosamide caused some type of cellular stress, the cells

did not undergo cell death. Further investigation to identify the cause of the abnormal morphology will be needed.



**Figure 5:** Niclosamide represses MG132-induced DNA condensation/fragmentation in SH-SY5Y cells. SH-SY5Y cells were treated with 5  $\mu$ M niclosamide and 10  $\mu$ M MG132 for 24 hours. Cells were fixed and stained by Hoechst 33258. (Preference for color: print and online).

#### Niclosamide attenuates MG132-mediated p53 expression in SH-SY5Y cells

The transcription factor p53 is a well-known tumor suppressor protein, and it is a critical regulator of apoptosis. It is known that MG132 upregulates p53 expression, therefore, we examined the effect of niclosamide on MG132-mediated p53 expression. SH-SY5Y cells were exposed to 5  $\mu$ M MG132, 1  $\mu$ M niclosamide for 24 h, and then total protein lysates were prepared for Western blot analysis with anti-p53 antibody. As expected, proteasome inhibitor MG132 markedly induced p53 expression, whereas co-treatment with niclosamide completely reversed this effect (Figure 3). The data implies niclosamide suppresses apoptosis triggered by proteasome inhibition by inhibiting p53 activation.

#### Niclosamide inhibits ER stress and pro-apoptotic UPR-associated signaling

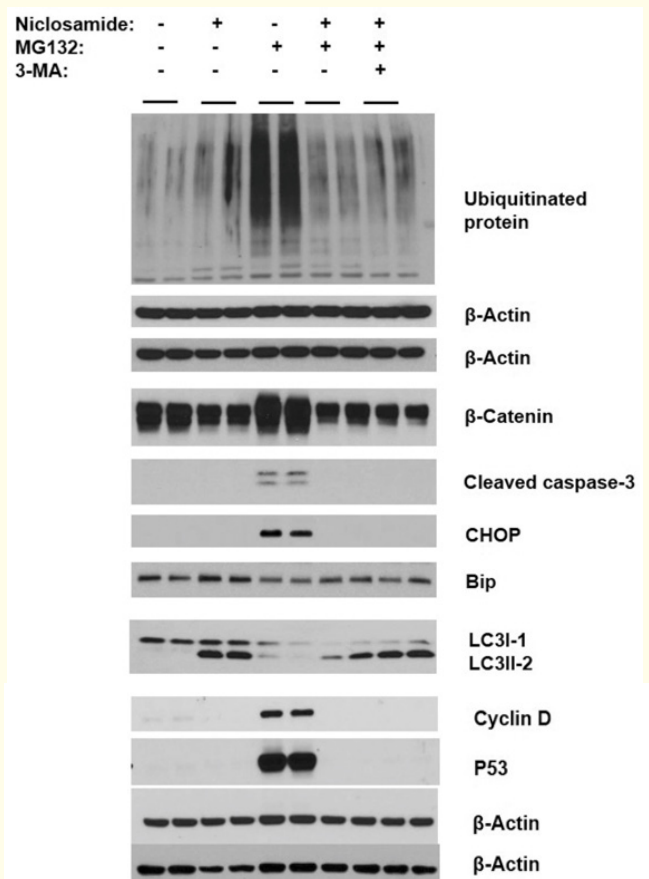
Accumulation of unfolded or misfolded proteins in the ER can trigger ER stress-mediated apoptosis [35,36]. As previously men-

tioned, the UPR is activated in response to ER stress to remove misfolded or unfolded proteins in the ER and re-establish cellular homeostasis. If the UPR is unable to clear these proteins, then apoptosis is initiated. Previous studies have shown that proteasome inhibition by MG132 was able to induce ER stress through the upregulation of the transcription factor CHOP (CCAAT/Enhancer-Binding Protein Homologous Protein) and the chaperone BiP (Binding immunoglobulin protein), which are both markers of ER stress [34]. To evaluate the effect of niclosamide on MG132-induced ER stress, we treated SH-SY5Y or PC12 cells with MG132, niclosamide, or both for 24 h. Incubation with MG132 alone resulted in a strong increase in CHOP expression in both cell lines, which correlated with an increase in caspase-3 and PARP cleavage (Figures 3, 4 and 6), indicating stimulation of ER stress also promoted apoptosis. Niclosamide alone had no effect on ER stress or apoptosis, as compared with untreated controls and MG132-only treatment in either cell line. However, co-treatment of MG132 with niclosamide dramatically decreased the expression of CHOP in SH-SY5Y cells (Figures 3 and 6). On the other hand, niclosamide had no effect on MG132-mediated upregulation of CHOP expression in PC12 cells; instead, we found that niclosamide reduced MG132 induction of BiP expression, which is another downstream target of UPR (Figure 4). Niclosamide had no effect on BiP expression levels in SH-SY5Y cells (Figure 6). These results imply niclosamide may inhibit ER stress and associated UPR-mediated apoptotic signaling by different mechanisms depending on cell type (Figure 6).

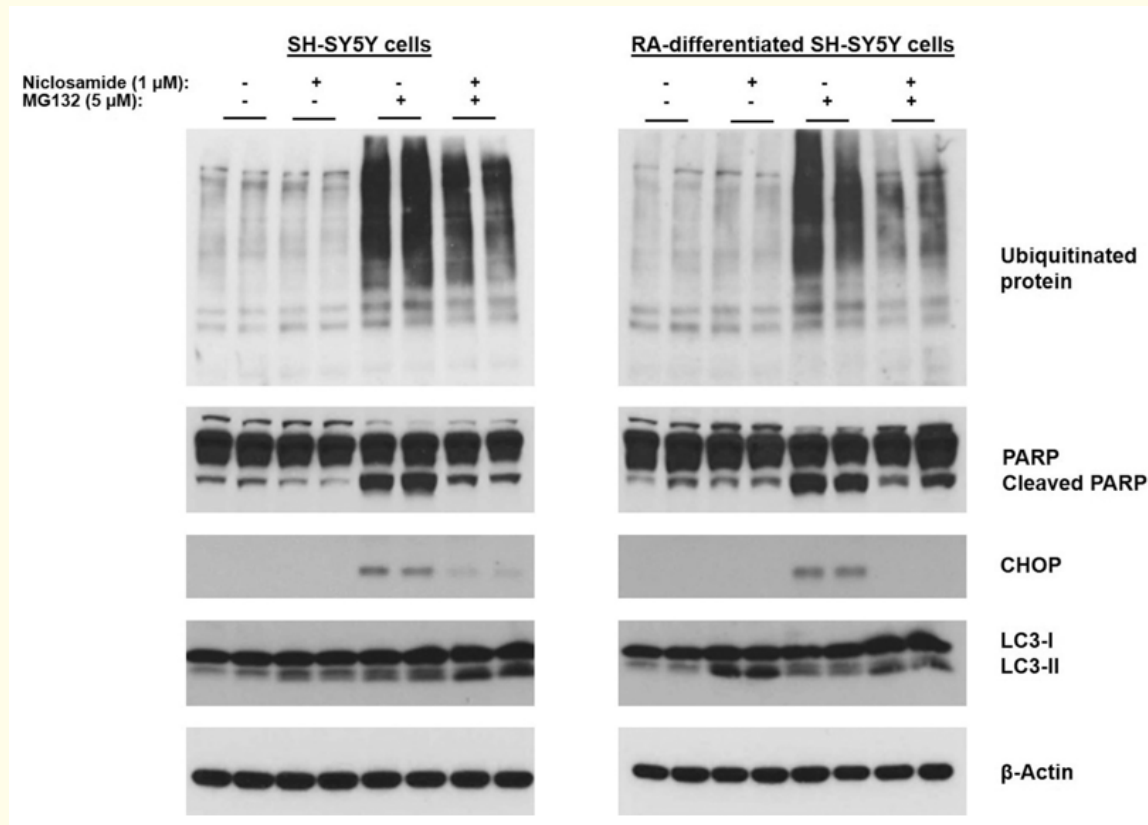
### Niclosamide activates autophagy

As previously mentioned, along with the UPS, autophagy is a mechanism by which misfolded proteins can be removed. Autophagy is also known to be an alternative mechanism of cell death, and dysregulation of this process during neurodegeneration can contribute to neuron cell death. Studies have demonstrated that impairment in the UPS can induce autophagy, and loss of autophagy in mouse brains results in neurodegeneration concomitant with an increase in protein ubiquitination [37]. Proteasome inhibition by MG132 has been shown to induce autophagy in SH-SY5Y cells [38]. To investigate the role of autophagy in MG132-induced apoptosis and the effect of niclosamide on these mechanisms, SH-SY5Y cells and retinoic acid (RA)-differentiated SH-SY5Y cells were treated with niclosamide, MG132, or both for 24 h then, cell lysates were prepared for Western blot to evaluate the conversion of the microtubule-associated protein light chain 3 I (LC3-I) to the microtubule-associated protein light chain 3 II (LC3-II), as its presence is characteristic of autophagy. After exposure of cells to MG132 for 24 h, an increase in the conversion of LC3-I to LC3-II was observed compared to untreated control in both cell

types. Interestingly, niclosamide-only treatment also appeared to enhance LC3-II conversion, particularly in RA-differentiated SH-SY5Y cells (Fig 7). Treatment of undifferentiated SH-SY5Y cells with both niclosamide and MG132 seemed to have a synergistic effect and promoted autophagy to a level greater than treatment with either niclosamide or MG132 alone. However, the same effect was not observed in RA-differentiated cells. The data implicated that proteasome inhibition can promote apoptosis via autophagy, however, niclosamide does not block MG132-induced ER-stress and cell death through its effect on autophagy. To confirm this hypothesis, SH-SY5Y cells were pretreated with the autophagy inhibitor 3-MA before exposure to MG132 and niclosamide. Pretreatment with 3-MA did not show any significant difference in the niclosamide effect, suggesting that although the promotion of autophagy may contribute to the protective effect of niclosamide, other mechanisms might be involved (Figure 6).



**Figure 6:** Effect of niclosamide on MG132-induced ER stress. SH-SY5Y cells were treated with niclosamide in the presence or absence of MG132 or 3-MA. Western blot was performed with antibodies against cleaved caspase-3, CHOP, BiP, LC3I-1, LC3II-2, P53, cyclin D, PARP, and cleaved PARP levels.



**Figure 7:** Effect of niclosamide on MG132-induced autophagy. Undifferentiated and differentiated SH-SY5Y cells were treated with 1  $\mu\text{M}$  niclosamide in the presence or absence of 5  $\mu\text{M}$  MG132 for 24h. Western blot was performed with antibodies against ubiquitin (Ub), PARP, cleaved PARP, CHOP, LC3I, LC3II and  $\beta$ -Actin.

## Discussion

Dysfunction of the proteasome can lead to the accumulation of misfolded proteins, which triggers endoplasmic reticulum stress and induces autophagy [39]. In the present study, we report that niclosamide can rescue human SH-SY5Y and rat PC12 neural cells from ER stress caused by proteasome inhibition through its ability to inhibit protein ubiquitination. Exposure to MG132 results in the induction of ER stress and UPR, and subsequently cell death if cell homeostasis cannot be restored by the UPR. Accumulation of misfolded proteins in the ER results in activation of proteins such as CHOP by the UPR and CHOP expression can promote apoptosis [40]. Therefore, inhibition of CHOP expression is one mechanism by which niclosamide could reduce apoptosis induced by proteasome inhibition in neural cells. Niclosamide effectively reduced the expression of CHOP in SH-SY5Y cells. However, it failed to

suppress MG132-mediated upregulation of CHOP in PC12 cells, although there was a remarkable decrease in activation of caspase 3 and the subsequent PARP cleavage. These findings suggest proteasome inhibition triggers different UPR-associated apoptotic pathways – CHOP-dependent and CHOP-independent pathways – depending on the cell type, but niclosamide can act on both to promote neural cell survival.

PARP is cleaved by caspase-3 and this cleavage neutralizes the ability of PARP to participate in DNA repair, resulting in apoptosis [41]. MG132 induces apoptosis, as demonstrated by the activation of caspase-3 and PARP cleavage, nucleic condensation, and DNA fragmentation [34]. In this study, we showed that niclosamide inhibits the activation of caspase-3 and thus prevents PARP cleavage, implying niclosamide can negatively regulate apoptosis caused by

proteasome inhibition through interference in the caspase signaling cascade.

Autophagy is the primary mechanism of degrading and recycling long-lived proteins and damaged organelles and consequently, it can function as a compensatory mechanism to degrade damaged, misfolded or dysfunctional cytoplasmic proteins when the proteasome is inhibited [42]. In fact, defects in autophagy are found to be associated with neuronal loss in neurodegenerative diseases, because abnormal proteins and damaged organelles could not be cleared from the neurons [43]. The function of autophagy in cells and in the development of human disease has been investigated extensively, and various studies have revealed that autophagy can function as either a pro-survival mechanism or as an alternative cell death signaling pathway, depending on cellular state and context [44,45]. In the case of neurodegenerative diseases, autophagy can exert a protective effect against neuronal injury by preventing accumulation of cytotoxic factors, such as misfolded proteins [46]. On the other hand, autophagy-induced cell death has been described to occur in association with several neurodegenerative disorders, including PD and AD [47,48] and it has been suggested that constitutive activation of autophagy may directly lead to neuronal cell death [49]. Our findings revealed exposure to niclosamide resulted in an increase in autophagy which implied neural protection from protein ubiquitination by promoting autophagy for the removal of these proteins. More studies are required to identify the mechanism of action of niclosamide.

## Conclusion

This is the first report showing that niclosamide can inhibit apoptosis induced by proteasome inhibition in both SH-SY5Y and PC12 neuronal cells. In fact, we found that even a low concentration of niclosamide (0.5  $\mu$ M) was able to repress MG132-induced ubiquitination. The findings show that autophagy and ER-stress, which are involved in the neurotoxicity of proteasome inhibition and the associated molecular mechanisms, can be regulated by niclosamide. Therefore, the use of niclosamide in conjunction with current treatments of neurodegenerative disorders may be a valid strategy for prolonging neuronal survival in cells undergoing cytotoxic stress due to the aggregation of ubiquitinated proteins as a result of proteasome dysfunction.

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## Conflict of Interest

No financial interest or conflict of interest exists.

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