



Colon Cancer: The Exclusion of Native Americans and Hispanics from Clinical Trials in the United States

Yazzie GA¹, Cayatineto HW¹, Clyde CS¹, Grunther B² and de Soto JA^{1*}

¹Laboratory of Pharmacogenetics and Health Care Disparities, School of STEM, Dine College, USA

²Department of Information Technology, Dine College, USA

*Corresponding Author: de Soto JA, Laboratory of Pharmacogenetics and Health Care Disparities, School of STEM, Dine College, USA.

Received: August 08, 2021

Published: August 19, 2021

© All rights are reserved by de Soto JA, et al.

Abstract

Introduction: Each year there are 150,000 new cases of colon cancer in the United States. The chance of death for Hispanics and Native Americans who get colon cancer is much higher than whites even though both groups are much less likely to get colon cancer than whites. In this study, we look at the inclusion or exclusion of Hispanics and Native Americans from colon cancer clinical trials.

Methods: In this retrospective study, 48 colon cancer clinical trials in the United States with an aggregate of 421,530 participants performed within the last ten years were selected at random. These clinical trials were evaluated for the inclusion and exclusion of minorities.

Results: Though whites make up only 60.1% of the population they make up 89% of the colon cancer clinical trial participants. African Americans, and Hispanics who make up 13.4% and 18.5% of the population only made up 5.6% and 0.6% of the colon cancer clinical trial participants. Only two native Americans out of 421,530 colon cancer clinical trial participants could be identified.

Conclusion: Colon Cancer Clinical trials have systematically excluded Hispanics and Native Americans while minimizing the participation of African Americans. This may be directly related to the increased death rates seen in these groups and provides evidence for the non-generalizability of colon cancer clinical trials.

Keywords: Colon Cancer; Clinical Trials; Native Americans; Hispanics; African Americans; Health Care Disparities; Racism; Pharmacogenetics

Introduction

It is estimated that there will be 149,500 new cases of colon cancer in 2021 with 52,980 deaths representing 8.7% of all cancer deaths in the United States [1]. The annual health care cost for colon cancer is about 18 billion dollars with about 300 million dollars being spent on research for this disease [2,3]. The incidence per 100,000 of colon cancer for Whites is 38.8%, African Ameri-

cans 43.7%, Asians 31.8%, Hispanics 34.4%, and Native Americans 38.6%. The death rates are Whites 13.6%, African Americans 18.7%, Asians 9.6%, Hispanics 11.3%, and Native Americans 15.5%. It is of interest to note that though Native Americans are less likely to get colon cancer than whites they are more likely to die from colon cancer. What perhaps is most telling is that the chances of dying once diagnosed with colon cancer is for Whites 35.1%, African

Americans 42.8%, Hispanics 38.7%, and Native Americans 40.5%. Among the factors that may be responsible for these findings are racist attitudes towards minorities by healthcare providers [4], poorer services available in minority areas [5], inadequate health insurance [6] and lack of adequate health care information provided to minority communities [7]. Yet, the problem may even be more fundamental than this in that the therapeutic interventions may be less efficacious and more toxic in minority populations due to their exclusion from clinical trials. This may in turn limit the generalizability of therapeutic interventions. Here we look at the inclusion or exclusion of ethnic minorities in colon cancer clinical trials.

Methods

In this study, colon cancer clinical trials performed within the United States within the past ten years were selected by searching PubMed and using the terms colon cancer and clinical trial. Sixty-two papers were then screened to ensure that 1) they were clinical trials, 2) they were performed in the United States 3) that the number or participants was clearly defined, and 4) published within the past ten years. Forty-eight peer reviewed papers met the inclusion criteria [8-54]. These papers were then evaluated for the inclusion of ethnic minorities in the methods and reporting of results and had an aggregate of 421,530 participants.

Results

In this retrospective study, 48 clinical trials met the inclusion criteria with an aggregate of 421,530 participants. It was found that during the evaluation of the methodology of these papers that 19 of the 50 clinical trials reported the ethnicity of the participants or roughly a third. Only one trial reported the results by ethnic group. In terms of participation, 89.1 % were white, 5.6% African American, 5.1% Asian, 0.61% Hispanic and 0% Native Americans. This compares to the expected by extant population in the United States of 60.1% white, 13.4 % African American, Asian 5.9%, Hispanic 18.5% and Native American 1.5% (see Figure 1). All ethnic groups were underrepresented except for white who were overrepresented in these clinical trials. Yet, the underrepresentation may be worse as only 36% of the clinical trials even reported the ethnicity of the participants implying that minority participation may be much lower than reported. In either case, the inclusion rate of Hispanics and Native Americans was already almost non-existent. The inclusion rate of African Americans was at best only 41% of expected number by their population in the United States.

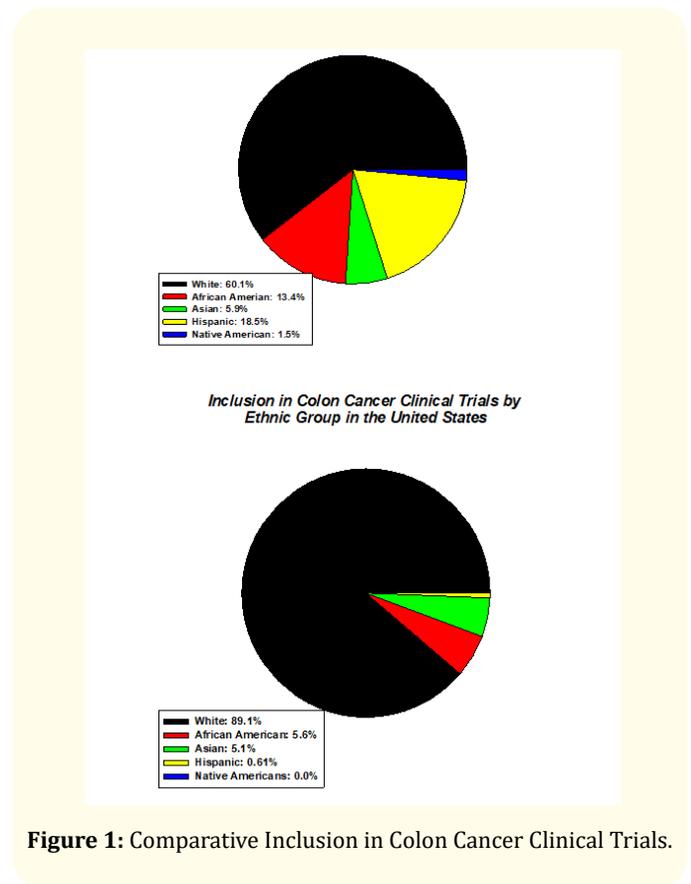


Figure 1: Comparative Inclusion in Colon Cancer Clinical Trials.

Discussion

In the United States for a therapeutic intervention to be approved it must undergo phase I clinical, phase II clinical and phase III clinical trials and the treatment have a good therapeutic index for a particular disease. The therapeutic index is the effective dose for half the population divided by the toxic dose for half the population ($TI = ED^{50}/TD^{50}$). Even under the best circumstance in a homogeneous population with a good therapeutic index there will be some people who do not have a response to a drug or intervention at normal doses and others who will undergo to toxicity at normal doses [55]. The more deadly or serious the disease the narrower the therapeutic index is allowed to be. The “normal dose” itself which is generated during the clinical trials represents the population that was included within the clinical trial. Thus, due to variances in environment, genetics, and epigenetics the pharmacogenetics may vary considerably among different ethnic popula-

tions. Thus, the results of the clinical trials may not be generalizable to populations excluded from the clinical trials. This may lead to ineffective treatment and/or an inordinate amount of toxicity in excluded groups.

Colon Cancer trials have been heavily biased in the inclusion of whites. African Americans who have a higher risk of colon cancer and a higher death rate surprisingly have been underrepresented when one would think they might be a primary focus of these trials. Indeed, Hispanics and Native Americans have been completely excluded as if their lives don't matter. Both groups though less likely than whites to get colon cancer once they get colon cancer have a much higher death rate. In other words their genetics, epigenetics and environment seem to be better in limiting colon cancer but, once they get it their death rates are high. The treatment of colon cancer is primarily determined by the stage of the colon cancer in a one size fits all manner [56]. This implies that the therapeutic intervention in minorities is lacking due to their exclusion from clinical trials. The National Institutes of Health and Big Pharma who fund clinical trials and carry them out often with major medical centers share responsibility in the racist approach of clinical trials with the Food and Drug Administration who approve the medications and therapeutics. Out of 48 colon cancer clinical trials only one had useful results for non-whites or < 2%. All the colon cancer clinical trials included an overwhelming number of whites, and all included useful information for whites. One may ask is it reasonable that out of 421, 530 colon cancer clinical trial participants only 265 Hispanics and 2 native Americans could be identified?

Conclusion

Colon Cancer Clinical trials have systematically excluded Hispanics and Native Americans while minimizing the participation of African Americans. This may be directly related to the increased death rates seen in these groups and provides evidence for the non-generalizability of colon cancer clinical trials. The Federal Government, Big Pharma and major medical centers in the United States share responsibility for this racism which has led to the unnecessary and tragic death of minorities in the United States.

Bibliography

1. NIH. "Cancer Stat Facts: Colorectal Cancer". National Cancer Institute (2021).
2. Mariotto AB, et al. "Projections of the cost of cancer care in the United States: 2010-2020". *Journal of the National Cancer Institute* 103.2 (2011): 117-128.
3. Thompson D. "Cancer Research: Where the Funding Goes". *Everyday Health*. July (2021).
4. Gollust SE, et al. "What Causes Racial Health Care Disparities? A Mixed-Methods Study Reveals Variability in How Health Care Providers Perceive Causal Attributions". *Inquiry* 55 (2018): 0046958018762840.
5. Shepherd SM, et al. "Racial and cultural minority experiences and perceptions of health care provision in a mid-western region". *International Journal of Equity Health* 17 (2018): 33.
6. Sohn H. "Racial and Ethnic Disparities in Health Insurance Coverage: Dynamics of Gaining and Losing Coverage over the Life-Course". *Population Research and Policy Review* 36.2 (2018).
7. Purnell TS, et al. "Achieving Health Equity: Closing The Gaps In Health Care Disparities, Interventions, And Research". *Health Affairs (Millwood)* 35.8 (2016): 1410-1415.
8. Alberts SR, et al. "Effect of Oxaliplatin, Fluorouracil, and Leucovorin with or without Cetuximab on Survival Among Patients With Resected Stage III Colon Cancer". *JAMA* 307.13 (2012): 1383-1393.
9. Allegra CJ, et al. "Bevacizumab in stage II-III colon cancer: 5-year update of the National Surgical Adjuvant Breast and Bowel Project C-08 trial". *Journal of Clinical Oncology* 31.3 (2013): 359-364.
10. André T, et al. "Microsatellite-Instability-High Advanced Colorectal Cancer". *The New England Journal of Medicine* 383 (2020): 2207-2218.
11. Baron JA, et al. "A Trial of Calcium and Vitamin D for the Prevention of Colorectal Adenomas". *The New England Journal of Medicine* 373 (2015): 1519-1530.
12. Bertagnolli MM, et al. "Microsatellite instability and loss of heterozygosity at chromosomal location 18q: prospective evaluation of biomarkers for stages II and III colon cancer--a

- study of CALGB 9581 and 89803". *Journal of Clinical Oncology* 29.23 (2011): 3153-3162.
13. Brown JC., et al. "A Randomized Phase II Dose-Response Exercise Trial among Colon Cancer Survivors: Purpose, Study Design, Methods, and Recruitment Results. A Randomized Phase II Dose-Response Exercise Trial among Colon Cancer Survivors: Purpose, Study Design, Methods, and Recruitment Results". *Contemporary Clinical Trials* 47 (2016): 366-375.
 14. Burke CA., et al. "Eflornithine plus Sulindac for Prevention of Progression in Familial Adenomatous Polyposis". *The New England Journal of Medicine* 383 (2020): 1028-1039.
 15. Chakravarthy AP., et al. "Intergroup Randomized Phase III Study of Postoperative Oxaliplatin, 5-Fluorouracil, and Leucovorin Versus Oxaliplatin, 5-Fluorouracil, Leucovorin, and Bevacizumab for Patients with Stage II or III Rectal Cancer Receiving Preoperative Chemoradiation: A Trial of the ECOG-ACRIN Research Group (E5204)". *Oncologist* 25.5 (2020): e798-e807.
 16. Chibaudel B., et al. "Association of Bevacizumab Plus Oxaliplatin-Based Chemotherapy With Disease-Free Survival and Overall Survival in Patients With Stage II Colon Cancer- A Secondary Analysis of the AVANT Trial". *JAMA Network Open* 3.10 (2020): e2020425.
 17. Coronado GD., et al. "Strategies and opportunities to STOP colon cancer in priority populations: pragmatic pilot study design and outcomes". *BMC Cancer* 14 (2014): 55.
 18. Dalerba P., et al. "CDX2 as a Prognostic Biomarker in Stage II and Stage III Colon Cancer". *The New England Journal of Medicine* 374 (2016): 211-222.
 19. Green BB., et al. "Impact of continued mailed fecal tests in the patient-centered medical home: Year 3 of the Systems of Support to Increase Colon Cancer Screening and Follow-Up randomized trial". *Cancer* 122.2 (2016): 312-321.
 20. Guercio BJ., et al. "Coffee Intake, Recurrence, and Mortality in Stage III Colon Cancer: Results From CALGB 89803". *Journal of Clinical Oncology* 33 (2015): 3598-3607.
 21. Haller DJ., et al. "Impact of age and medical comorbidity on adjuvant treatment outcomes for stage III colon cancer: a pooled analysis of individual patient data from four randomized, controlled trials". *Annals of Oncology* 26.4 (2015): 715-724.
 22. Henning SM., et al. "Phenolic acid concentrations in plasma and urine from men consuming green or black tea and potential chemopreventive properties for colon cancer". *Molecular Nutrition and Food Research* 57.3 (2013): 483-493.
 23. Huang J., et al. "Comparison of FOLFIRI with or without cetuximab in patients with resected stage III colon cancer; NCCTG (Alliance) intergroup trial N0147". *Clinical Colorectal Cancer* 13.2 (2014): 100-109.
 24. Jafari MD., et al. "Perfusion assessment in laparoscopic left-sided/anterior resection (PILLAR II): a multi-institutional study". *Journal of the American College of Surgeons* 220.1 (2015): 82-92.e1.
 25. Kim SR., et al. "Tumour sidedness and intrinsic subtypes in patients with stage II/III colon cancer: analysis of NSABP C-07 (NRG Oncology)". *British Journal of Cancer* 118.5 (2018): 629-633.
 26. Kopetz S., et al. "Encorafenib, Binimetinib, and Cetuximab in BRAF V600E-Mutated Colorectal Cancer". *The New England Journal of Medicine* 381 (2019): 1632-1643.
 27. Landry JC., et al. "Phase II Trial of Preoperative Radiation With Concurrent Capecitabine, Oxaliplatin, and Bevacizumab Followed by Surgery and Postoperative 5-Fluorouracil, Leucovorin, Oxaliplatin (FOLFOX), and Bevacizumab in Patients With Locally Advanced Rectal Cancer: 5-Year Clinical Outcomes ECOG-ACRIN Cancer Research Group E3204". *Oncologist* 20.6 (2015): 615-616.
 28. Leichman L., et al. "Phase II Study of Olaparib (AZD-2281) After Standard Systemic Therapies for Disseminated Colorectal Cancer". *Oncologist* 21.2 (2015): 172-177.
 29. Levine EA., et al. "Gene Expression Profiling of Peritoneal Metastases from Appendiceal and Colon Cancer Demonstrates Unique Biologic Signatures and Predicts Patient Outcomes". *Journal of the American College of Surgeons* 214.4 (2012): 599-607.
 30. Li Y., et al. "Effects of vitamin E from supplements and diet on colonic α - and γ -tocopherol concentrations in persons at in-

- creased colon cancer risk". *Nutrition Cancer* 67.1 (2015): 73-81.
31. Liao X., et al. "Aspirin Use, Tumor PIK3CA Mutation, and Colorectal-Cancer Survival". *The New England Journal of Medicine* 367 (2012): 1596-1606.
 32. McCahill LE., et al. "Primary mFOLFOX6 plus bevacizumab without resection of the primary tumor for patients presenting with surgically unresectable metastatic colon cancer and an intact asymptomatic colon cancer: definitive analysis of NSABP trial C-10". *Journal of Clinical Oncology* 30.26 (2012): 3223-3228.
 33. Morales-Oyarvide V., et al. "Dietary Insulin Load and Cancer Recurrence and Survival in Patients with Stage III Colon Cancer: Findings From CALGB". *JNCI Journal of the National Cancer Institute* 111.2 (2019): djy098.
 34. Ogino S., et al. "Predictive and prognostic roles of BRAF mutation in stage III colon cancer: results from intergroup trial CALGB 89803". *Clinical Cancer Research* 18.3 (2012): 890-900.
 35. Pavelitz T., et al. "MRE11-Deficiency Associated with Improved Long-Term Disease Free Survival and Overall Survival in a Subset of Stage III Colon Cancer Patients in Randomized CALGB 89803 Trial". *Plos One* 9.10 (2014): e108483.
 36. Pernicka JSG., et al. "Radiomics-based prediction of microsatellite instability in colorectal cancer at initial computed tomography evaluation". *Abdom Radiology (NY)* 44.11 (2019): 3755-3763.
 37. Phipps AI., et al. "Physical activity and outcomes in patients with stage III". *Cancer Epidemiology, Biomarkers and Prevention* 27.6 (2018): 696-703.
 38. Phipps AI., et al. "Associations Between Cigarette Smoking Status and Colon Cancer Prognosis Among Participants in North Central Cancer Treatment Group Phase III Trial N0147". *Journal of Clinical Oncology* 31.16 (2013): 2016-2023.
 39. Pogue-Geile K., et al. "Defective mismatch repair and benefit from bevacizumab for colon cancer: findings from NSABP C-08". *Journal of the National Cancer Institute* 105.13 (2013): 989-992.
 40. Protic M., et al. "Prognostic Effect of Ultra-Staging Node-Negative Colon Cancer Without Adjuvant Chemotherapy: A Prospective National Cancer Institute-Sponsored Clinical Trial". *Journal of the American College of Surgeons* 221.3 (2015): 643-651.
 41. Schmoll HJ., et al. "Effect of adjuvant capecitabine or fluorouracil, with or without oxaliplatin, on survival outcomes in stage III colon cancer and the effect of oxaliplatin on post-relapse survival: a pooled analysis of individual patient data from four randomised controlled trials". *Lancet Oncology* 15.13 (2014): 1481-1492.
 42. Sinicrope FA., et al. "Association of obesity with DNA mismatch repair status and clinical outcome in patients with stage II or III colon carcinoma participating in NCCTG and NSABP adjuvant chemotherapy trials". *Journal of Clinical Oncology* 30.4 (2012): 406-412.
 43. Sinicrope FA., et al. "Body mass index at diagnosis and survival among colon cancer patients enrolled in clinical trials of adjuvant chemotherapy". *Cancer* 119.8 (2013): 1528-1536.
 44. Sinicrope FA., et al. "Prognostic impact of deficient DNA mismatch repair in patients with stage III colon cancer from a randomized trial of FOLFOX-based adjuvant chemotherapy". *Journal of Clinical Oncology* 31.29 (2013): 3664-3672.
 45. Smith JJ., et al. "Experimentally Derived Metastasis Gene Expression Profile Predicts Recurrence and Death in Patients with Colon Cancer". *Gastroenterology* 138.3 (2010): 958-968.
 46. Song M., et al. "Marine omega-3 fatty acid intake and survival of stage III colon cancer according to tumor molecular markers in NCCTG Phase III trial N0147 (Alliance)". *International Journal of Cancer* 145.2 (2019): 380-389.
 47. Song N., et al. "Clinical Outcome From Oxaliplatin Treatment in Stage II/III Colon Cancer According to Intrinsic Subtypes: Secondary Analysis of NSABP C-07/NRG Oncology Randomized Clinical Trial". *JAMA Oncology* 2.9 (2016): 1162-1169.
 48. Sticca RP., et al. "Current Use and Surgical Efficacy of Laparoscopic Colectomy in Colon Cancer". *Journal of the American College of Surgeons* 217.1 (2013).

49. Thompson JH., *et al.* "Participatory Research to Advance Colon Cancer Prevention (PROMPT): Study protocol for a pragmatic trial". *Contemporary Clinical Trials* 67 (2018): 11-15.
50. Tran E., *et al.* "T-Cell Transfer Therapy Targeting MutantKRAS in Cancer". *The New England Journal of Medicine* 375 (2016): 2255-2262.
51. Van Blarigan EL., *et al.* "Association of Survival With Adherence to the American Cancer Society Nutrition and Physical Activity Guidelines for Cancer Survivors After Colon Cancer Diagnosis: The CALGB 89803/Alliance Trial". *JAMA Oncology* 4.6 (2018): 783-790.
52. Zaanani A., *et al.* "Prognostic Impact of Deficient DNA Mismatch Repair and Mutations in KRAS, and BRAF V600E in Patients with Lymph Node-Positive Colon Cancer". *Current Colorectal Cancer Reports* 10.3 (2014): 346-353.
53. Zauber AG., *et al.* "Colonoscopic polypectomy and long-term prevention of colorectal-cancer deaths". *The New England Journal of Medicine* 366.8 (2012): 687-696.
54. Greg Yothers., *et al.* "Oxaliplatin as adjuvant therapy for colon cancer: updated results of NSABP C-07 trial, including survival and subset analyses". *Journal of Clinical Oncology* 29.28 (2011): 3768-3774.
55. Katzung B. *Basic and Clinical Pharmacology*. Lange (2020).
56. Abraham J., *et al.* "The Bethesda Handbook of Clinical Oncology". Walters Kluwer (2014).

Volume 5 Issue 9 September 2021

© All rights are reserved by de Soto JA., *et al.*